



K O N I N K L I J K E N E D E R L A N D S E  
A K A D E M I E V A N W E T E N S C H A P P E N

**QRiH: Bottom up development of  
indicators for scholarly and hybrid  
book publications**

**ENRESSH book conference  
Kopenhagen 5 and 6 November  
2018  
Jack Spaapen en Ad Prins**

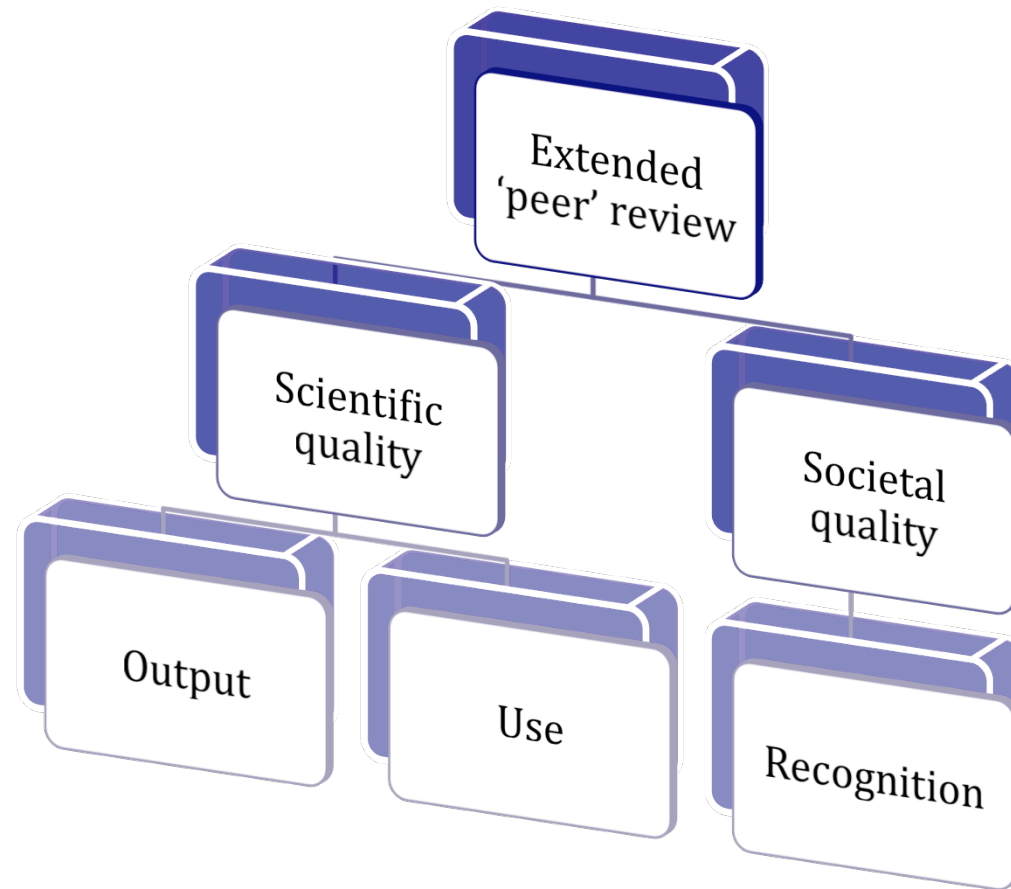


## ARCHITECTURE OF SEP III: 2015-2021

PEERS, OTHER  
EXPERTS and  
STAKEHOLDERS

EQUAL ATTENTION IN  
ASSESSMENT

**INDICATORS  
BOTTOM UP**





## SEP INDICATORS: ROBUST EVIDENCE FOR NARRATIVE COMBINATION OF SCIENTIFIC AND SOCIETAL GOALS

|                       |                                   | Quality domains                      |   |
|-----------------------|-----------------------------------|--------------------------------------|---|
|                       |                                   | Research quality                     | Relevance to society                                  |
| Assessment dimensions | Demonstrable products             | 1. Research products for peers       | 4. Research products for societal target groups       |
|                       | Demonstrable use of products      | 2. Use of research products by peers | 5. Use of research products by societal target groups |
|                       | Demonstrable marks of recognition | 3. Marks of recognition from peers   | 6. Marks of recognition by societal target groups     |



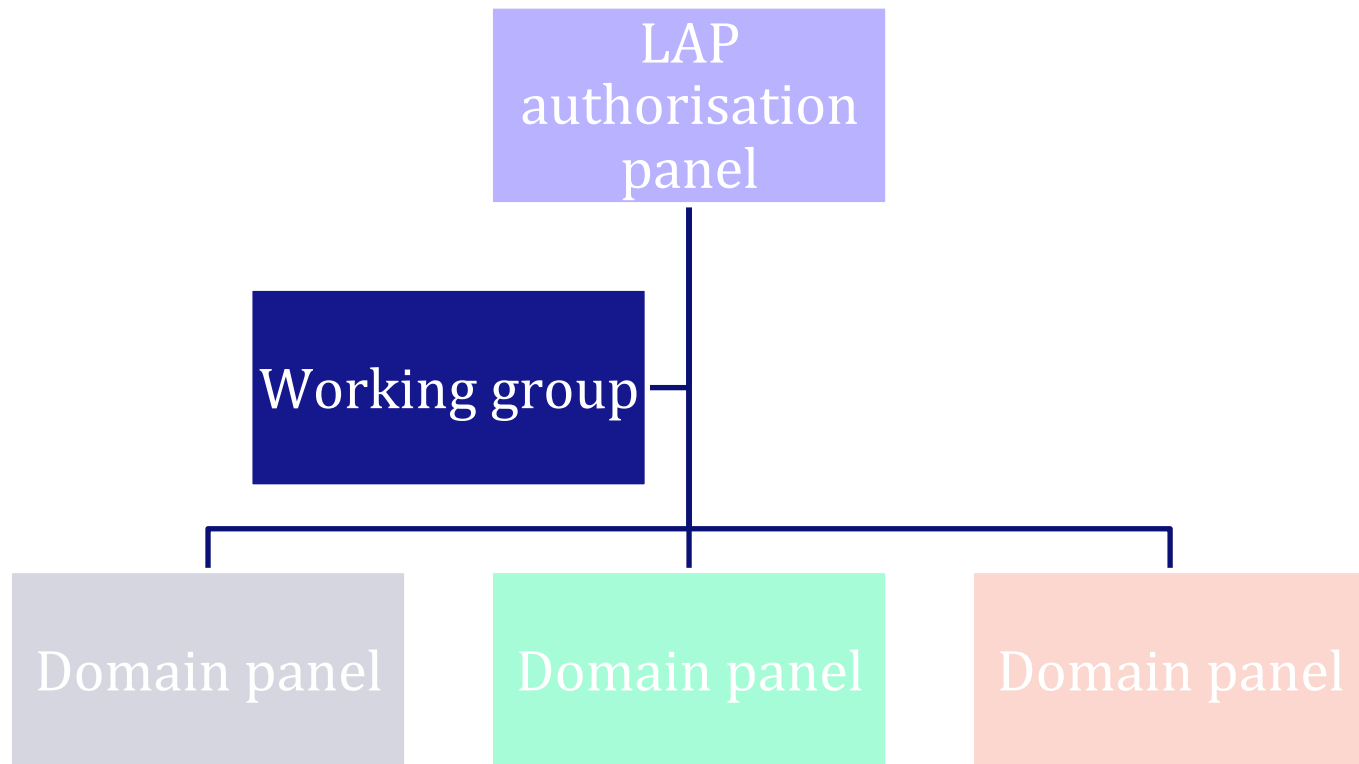
## **HUMANITIES PROJECT: QRIH DEVELOP INDICATORS WITH COMMUNITY ['POLDER MODEL']**

**Main Goal: adequate guideline for use of SEP by humanities:  
evidence based narrative**

- Analysis of production and communication patterns; use of journals and publishers; use of databases; language issues; stakeholder analysis, ...
- Involvement of the community: faculties, research schools, panels
- Domain panels provide information about variegated output, the use of publication channels (journals, publishers, other), interaction with stakeholders, suggestions for indicators



## ORGANISATION OF HUMANITIES PROJECT QRiH





## ENGAGEMENT OF COMMUNITY VIA RESEARCH SCHOOLS

Archeology  
Cultural History  
Digital Humanities  
Philosophy  
Linguistics  
Medieval Studies  
Cultural Studies  
Islam Studies  
Gender studies  
Theology and Religious Study  
Economic and Social History  
Classical Studies  
Political History  
Art History  
Literary Studies  
Media studies  
Science, Technology and Innovation  
Studies

### *Domain panels*

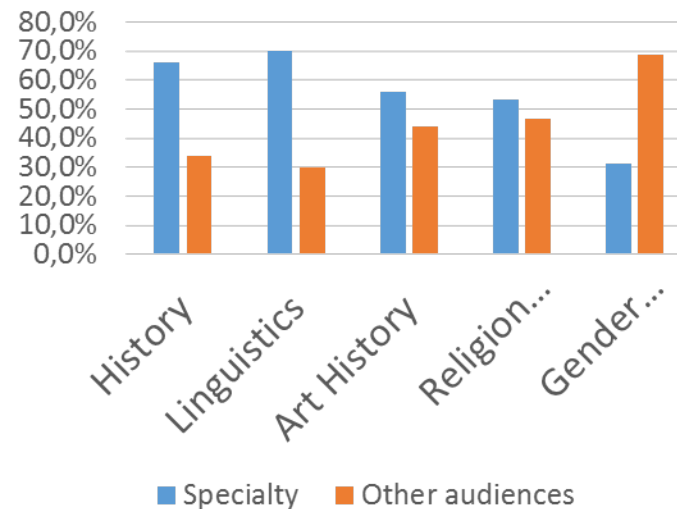
- Journal lists,
- Publishers
- Indicators,  
supporting  
evidence



## BROAD IMPACT OF HUMANITIES RESEARCH

- Panels selected journals and publishers per (sub)domain and per type of audience:
  - Specialty
  - Discipline
  - Multi- or interdisciplinary
  - Hybrid (both scientific and societal communication combined)
- $\pm 2000$  journals put forward by 17 panels (VABB & CRISTin: each 10.000 journals)
- Diversity in subdivisions: from 0 to 18 specialties and subdomains

% of selected journals in other than specialties for five panels





## 1. RESEARCH PRODUCTS FOR PEERS: SCHOLARLY OUTPUT

### Authorized indicators

- Scholarly books, source publications, exhibition catalogues
- Journal articles and reviews
- Book chapters
- Editorship of an edited volume or special issue

### Reasoned indicators

- Proceedings
- Digital infrastructures and databases
- Websites
- Films, documentaries, exhibitions and other audio-visual products
- Software
- Designs
- Commissioned reports reports
- Lectures delivered at research conferences
- Organising conferences
- Science columns, blogs and forums





## 4. RESEARCH PRODUCTS FOR SOCIETY

- Hybrid books, source publications and exhibition catalogues for a professional readership
- Articles in professional journals
- Book chapters in publications for a professional readership
- Editorship of an edited volume or special issue of a professional journal
- Digital infrastructures and databases for professional users
- Films and documentaries for a professional audience
- Software, digital media, and serious games for professional users
- Websites for professional visitors
- Designs for professional users
- Reports for professionals or for the benefit of a professional readership
- Lectures and master classes for a professional audience
- Audio-visual lectures and presentations for professional audiences
- Organising of conferences for professional attendees
- Blogs and forums for professional users

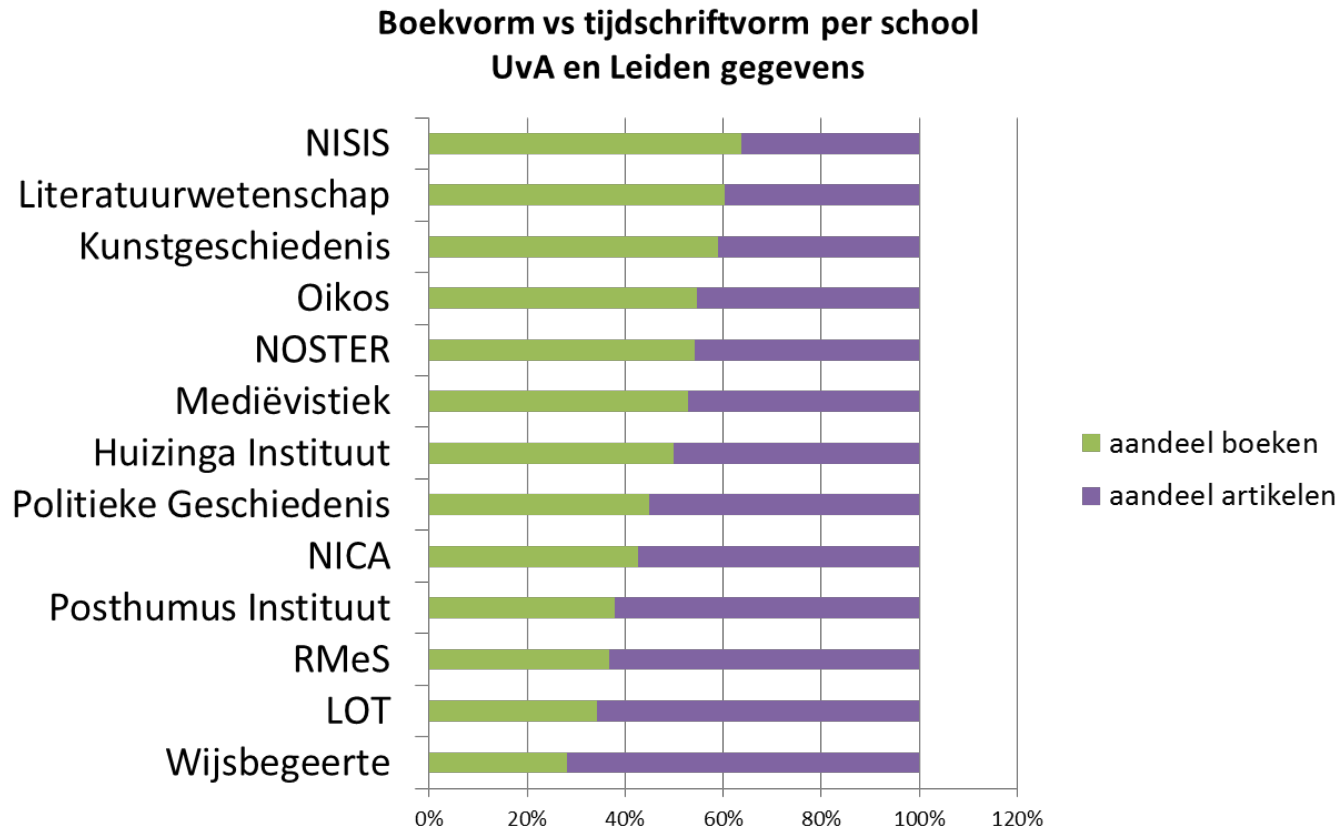


## SEP INDICATOR SCHEME

|                       |                                   | Quality domains   |   |
|-----------------------|-----------------------------------|---|---|
|                       |                                   | Research quality  | Relevance to society  |
| Assessment dimensions | Demonstrable products             | 1. Research products for peers<br><i>Scholarly books and articles</i> | 4. Research products for societal target groups<br><i>Hybrid books and articles</i> |
|                       | Demonstrable use of products      | 2. Use of research products by peers                                  | 5. Use of research products by societal target groups                               |
|                       | Demonstrable marks of recognition | 3. Marks of recognition from peers                                    | 6. Marks of recognition by societal target groups                                   |



## BOOKS VS ARTICLES RESEARCH SCHOOLS





## LIST FOR SCHOLARLY OUTPUT [HTTPS://WWW.QRIH.NL/EN/TOOLS/LISTS](https://www.qrih.nl/en/tools/lists)

Browser window showing the QRiH Lists tool interface. The URL is <https://www.qrih.nl/en/tools/lists>.

Search filters:

- Search: [ ]
- CLEAR [ ]
- Excel export [ ]
- Choose Domein -
- Choose Subdomain -
- Choose Language -
- Choose Audience -
- 20

| Publisher or Magazine   | ISSN      | e-ISSN    | Rank | Panel score | Audience                       | In other systems |
|---|-----------|-----------|------|-------------|--------------------------------|------------------|
| [in]Transition: Journal of Videographic Film & Moving Image Studies | 2469-4312 |           |      |             | Domein (of vakgebied)          |                  |
| [in]Transition: Journal of Videographic Film & Moving Image Studies | 2469-4312 |           |      |             | Multi- of interdisciplinair    |                  |
| [in]Transition: Journal of Videographic Film & Moving Image Studies | 2469-4312 |           |      |             | Alle Doelgroepen               |                  |
| 2VM   |           |           |      |             | Hybride Uitgeverijen           |                  |
| 453 Revista de Teoría de la Literatura y Literatura Comparada       | 2013-3294 | 2013-3294 |      |             | Domein (of vakgebied)          | ●                |
| A Contracorriente   | 1548-7093 |           |      |             | Subdomein (of specialisme)     |                  |
| A. Francke Verlag   |           |           |      |             | Wetenschappelijke uitgeverijen |                  |
| a/b: Auto/Biography studies   | 0898-9575 |           |      |             | Alle Doelgroepen               | ●                |
| a/b: Auto/Biography studies   | 0898-9575 |           |      |             | Subdomein (of specialisme)     | ●                |
| Aarhus Universitetsforlag   |           |           |      |             | Wetenschappelijke uitgeverijen |                  |
| Ab Imperio  | 2166-4072 |           |      |             | Subdomein (of specialisme)     | ●                |
| Abrams Books  |           |           |      |             | Wetenschappelijke uitgeverijen |                  |



## HYBRID PUBLICATIONS

*Targetting both peers and wider audiences*

Quantitative evidence via Google Scholar and CRA [© Ad Prins]

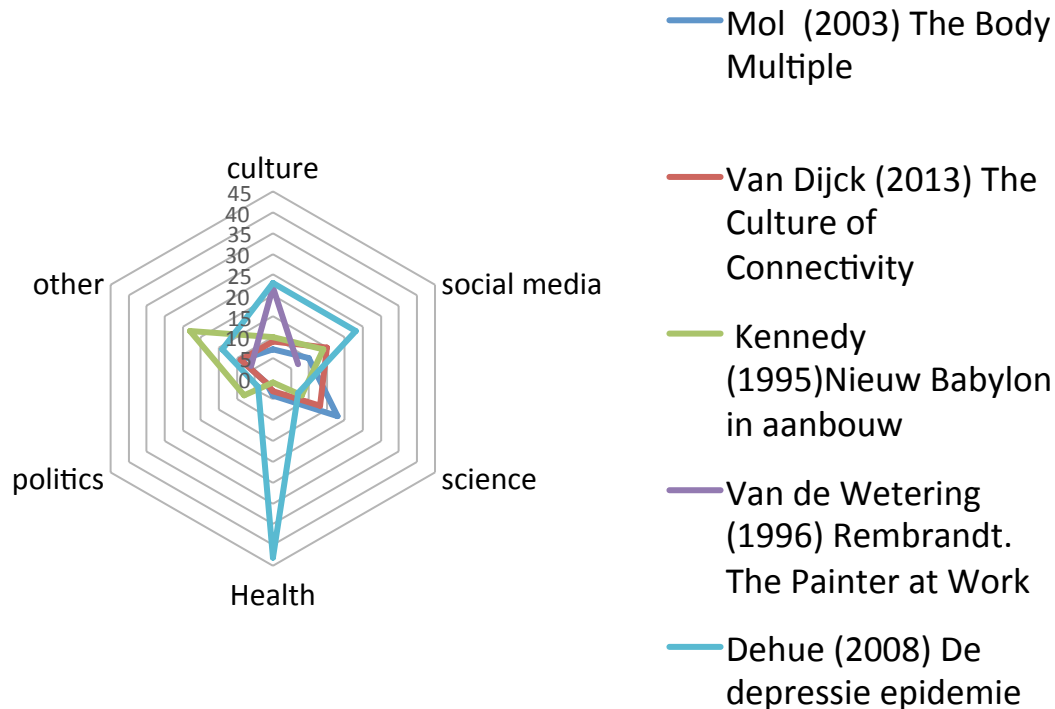
- Google Scholar: number of citations depend on disciplinary differences citation cultures and publication date
- CRA = Contextual Response Analysis: online searches via Google, Bing, specialised databases

Number of civil society stakeholders depends on the immediate relevance of the publication, the extent to which their various occupational fields are institutionalised or organised, and publication date

|  | Google Scholar cites | # net societal stakeholders | Domain Panel                   |
|--|----------------------|-----------------------------|--------------------------------|
| Annemarie Mol (2003) <i>The Body Multiple</i> Duke University Press  | 3359                 | 86                          | Science and Technology Studies |
| José van Dijck. <i>The Culture of Connectivity: A Critical History of Social Media</i> . Oxford: Oxford UP, 2013.                              | 729                  | 87                          | Literature Studies             |
| James C. Kennedy, <i>Nieuw Babylon in aanbouw. Nederland in de jaren zestig (Amsterdam 1995: Boom) (1)</i>                                     | 280                  | 132                         | Political History              |
| Piet de Rooy, <i>Republiek van rivaliteiten. Nederland sinds 1813 (Amsterdam: Metz &amp; Schilt 2002) (2)</i>                                  | 151                  | 46                          | Political History              |
| Ernst van de Wetering. <i>Rembrandt. The Painter at Work</i> , AUP, 1996.  | 150                  | 106                         | Arts and Art History           |
| Trudy Dehue (2008) <i>De depressie epidemie</i> , Amsterdam: Augustus  | 103                  | 206                         | Science and Technology Studies |
| Frits van Oostrom, <i>Het woord van eer (1987) &amp; Wereld in woorden (2013)</i>  | 74                   | 22                          | Philosophy                     |
| Leo Lucassen & Jan Lucassen , <i>Winnaars en verliezers. Een Nuchtere Balans Van Vijfhonderd Jaar Immigratie (Amsterdam: Prometheus, 2011)</i> | 65                   | 84                          | Economic History               |
| M. de Winkel, <i>Fashion and fancy : dress and meaning in Rembrandt's paintings</i> , Amsterdam (AUP) 2006                                     | 49                   | 45                          | Arts and Art History           |
| Henk te Velde, <i>Stijlen van Leiderschap. Persoon en politieke van Thorbecke tot Den Uyl (Amsterdam: Wereldbibliotheek 2002) (3)</i>          | 41                   | 47                          | Political History              |
| Marita Mathijssen, <i>De gemaskerde eeuw</i> . Amsterdam, Querido, 2002. 268 pp.   | 38                   | 57                          | Cultural History               |
| Floris Cohen, <i>Herscheping van de wereld. Het Ontstaan Van De Moderne Natuurwetenschap Verklaard</i> . Amsterdam, Bert Bakker, 2008. 299 pp. | 35                   | 66                          | Cultural History               |
| Wagenaar, <i>Town planning in the Netherlands since 1800</i> , 2011  | 25                   | 10                          | Arts and Art History           |



## Sectors of non-academic stakeholders for five Humanities publications



Each book has its specific audience in social economic sectors with different issues, different demands in communications.

This underlines the necessity to allow for portfolios of researchers (combining book publications, lectures, interviews)

And it underlines the necessity of narratives instead of indicators



## PRELIMINARY CONCLUSIONS

- Bottom up development of indicators for scholarly and hybrid books gives community power and provides insight in stakeholder uptake
- Community did not want rankings or levels for journals or publishers
- Limited lists are successful and serve as quality mark
- Hybrid books are important for humanities, and appreciated as assessment category
- CRA is received very well as a method for supporting evidence





## DISTRIBUTION PUBLISHERS PER DOMAIN

|                    | Wetensch uitgeverij | Hybride uitgeverij | Grand Total |
|--------------------|---------------------|--------------------|-------------|
| Huizinga           | 15                  | 2                  | 17          |
| LOT                | 15                  | 2                  | 17          |
| Mediëvistiek       | 10                  |                    | 10          |
| NICA               | 8                   | 8                  | 16          |
| NISIS              | 5                   | 1                  | 6           |
| NOG                | 12                  | 1                  | 13          |
| NOSTER             | 8                   | 3                  | 11          |
| NWP                | 7                   | 1                  | 8           |
| OPG                | 8                   |                    | 8           |
| OSK                | 24                  | 15                 | 39          |
| WTMC               | 6                   | 6                  | 12          |
| OIKOS              | 2                   |                    | 2           |
| OSL                | 41                  |                    | 41          |
| OZSW               | 9                   | 5                  | 14          |
| RMeS               | 4                   |                    | 4           |
| DigHum             | 5                   | 5                  | 10          |
| <b>Grand Total</b> | <b>179</b>          | <b>49</b>          | <b>228</b>  |